

## United Nations Development Programme



### Global Initiation Plan

**Project Title:** Climate Promise: From Pledge to Impact

**Expected SP Outcome(s):** Outcome 1 - Structural transformation accelerated, particularly green, inclusive and digital transitions

**Expected SP Output(s):** Output 1.1 The 2030 Agenda, Paris Agreement and other intergovernmentally-agreed frameworks integrated in national and local development plans, measures to accelerate progress put in place, and budgets and progress assessed using data-driven solutions

**Initiation Plan Start/End Dates:** 10 January 2022 – 30 December 2022

**Implementing Partner:** UNDP

Programme Period:	2022 to 2027
Atlas Project Number:	00138971
Atlas Output ID:	TBD
Gender Marker:	GEN2
<p>*This PIP ceases to be relevant at the point in that when the Climate Promise: From Pledge to Impact project document gets approved – to be completed before the end of 2022 .</p>	

Total resources required for full project	USD 150m
Total allocated resources for PIP	<u>USD 18.65m</u>
• Regular	_____
• Other:	
○ Germany (BMZ)	USD 5.74m
○ Germany (BMU)	USD 11.48m
○ Belgium	USD 1.43m
Unfunded budget:	_____
In-kind Contributions	_____

### Brief Description

The purpose of this Initiation Plan is to build the foundation for a global portfolio initiative and to undertake initial activities necessary for the rapid implementation of the next phase of the UNDP's flagship initiative, the ***Climate Promise: From Pledge for Impact - Leveraging the Climate Promise to turn enhanced Nationally Determined Contributions into ambitious climate action.***

The Initiation plan will focus on activities at three levels: country, regional and global. At country level activities will be focus on scoping and initial steps in NDC implementation following the submission of enhanced NDCs under the first phase of the Climate Promise. Frontrunner countries will be prioritized to advance the activities across three key pillars of the initiative: scale and speed, amplifying ambition, and mobilization. A particular focus will be on setting up the governance and systems for tracking and monitoring NDCs through effective data and transparency. At the regional level, dedicated technical assistance for countries, coordination/reporting systems, and knowledge generation and sharing (focused on south-south collaboration) will be a priority. Finally, at the global level, the focus will be on setting up the infrastructure to deliver scaled up support during the next phase, including technical and oversight capacity, strengthened data gathering and tracking systems, and undertaking global advocacy and innovative initiative (e.g. People's Climate Vote).

DocuSigned by:

Haoliang Xu

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05-Jan-2022

Agreed by UNDP:

Date:

Haoliang XU, UN Assistant Secretary-General and UNDP Director, BPPS

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## I. PURPOSE AND EXPECTED OUTPUT

At the UN Secretary-General's Climate Action Summit in 2019, UNDP pledged to support at least 100 countries to enhance their Nationally Determined Contributions (NDCs) ahead of COP26. This pledge turned into [UNDP's Climate Promise](#), which in just over a year and a half scaled up support to 120 countries and became the world's largest offer of support on NDC enhancement. The Climate Promise is now supporting 80% of all developing countries' NDCs globally and has directly contributed to better quality of NDCs with the majority demonstrating an increase in climate mitigation and adaptation ambition. This success has built on the strong foundation of UNDP's [NDC Support Programme](#) and other climate policy support on National Adaptation Plans, Low-Emission Development Strategies, National Communications/Biennial Update Reports and transparency. It also drew upon a broad range of relevant expertise and programming support across UNDP on drivers of climate action – including energy, forests, water, nature, health, finance, governance, gender and youth, among others - as well as partnerships at the local, national, regional, and global levels, including through the NDC Partnership.

Drawing from this success, many countries are looking to the continued support from UNDP and our partners to turn their national climate commitments into ambitious action. As countries finalize their submissions to the UNFCCC, we must continue the momentum established throughout the revision and enhancement process and turn towards targeted support for scaled up implementation.

As countries shift into the next stage of NDC implementation, they are navigating a triple planetary crisis of climate, nature and pollution, compounded by the COVID-19 pandemic and associated economic and development challenges. To support them at this critical moment in history, the process of turning NDC targets into concrete action can deliver multiple dividends for countries not only directly in line with the goals of the Paris Agreement but also with the other Post 2020 agendas in line with the 2030 Agenda for Sustainable Development - including the Biodiversity Framework, UN Decade on Ecosystem Restoration Targets, and the Sendai Framework for Disaster Risk Reduction, among others.

**The next phase of the Climate Promise aims to help countries move from pledge to impact. UNDP, together with partners, will scale-up support in at least 100 countries to use their NDCs as sovereign plans for investment in key engines of sustainable development – such as energy, water, nature-based solutions, forests, agriculture, transportation, and green economy.** UNDP will bring together its extensive infrastructure, networks and breath of substantive offers to provide comprehensive support on NDC implementation. By leveraging its strengths at global to regional and country levels, it will help deliver NDCs and transition toward net-zero emission and climate resilient development pathways.

Given the ambitious scope and complexity of this effort, the proposed initiative will be a portfolio-level umbrella programme that encompasses a number of projects and support offers at national, regional and global level. The initiative will be delivered in collaboration with multiple key partners, most notably through the NDC Partnership and in coordination with its Support Unit. The initiative will aim to delivery results across three core pillars:

- **Scale and Speed** – Leverage the NDC as a gateway to deliver ambitious climate action towards the Paris Agreement and Sustainable Development Goals. The focus will be on putting in place governance and enabling systems to accelerate and scale the implementation of NDCs across sectors and thematic areas.
- **Amplifying Ambition** – Continue to push for greater ambition and connect strong and integrated NDCs to long-term, net-zero and climate resilient pathways.
- **Lasting Inclusivity** – Build on the stakeholder engagement processes and gender-responsive approaches of NDC revision to deliver the NDC targets and continue to

advocate for greater ambition. A strong focus will be on adopting a ‘whole-of-society’ approach and supporting marginalized groups, such as women, youth, and local communities including indigenous groups to bring their unique voices, skills, and increased leadership to NDC implementation.

These pillars of support have been defined based on the extensive experiences and data generated from the first chapter of the Climate Promise, and also build and contribute to the broader UN's three strategic pillars of support on NDCs – Acceleration, Ambition and Mobilization.

This Initiation Plan phase is proposed in order to enable sufficient time to establish the foundation, infrastructure and engagement for the full Portfolio programme document, which will further elaborate UNDP's strategic support to countries across the above pillars. The overall aim of this initiative is for countries to be able to turn **their pledges into concrete action and enable them to advance on a path toward net-zero emission and climate resilience**. At the same time, the Initiation Plan will put in place the necessary systems, processes and capacities to continue the momentum of the first phase of the Climate Promise. Most importantly, it will mobilize the entire UNDP organization around the implementation of NDCs as a driver of sustainable development. Specifically, this includes establishing the human, technical and financial capacities at the global and regional level to deliver support to countries; undertaking initial scoping activities in-country (in coordination with the NDC Partnership); rolling out UNDP country-wide portfolio alignment exercise to support NDCs; and continuing to support global advocacy and scale up of the People's Climate Vote in the run up to COP27. In addition, the Initiation Plan will allow the implementation of targeted comprehensive support in front-runner countries to address urgent priority needs and demonstrate impact that can be rapidly scaled up once the full project is activated. These include, but not limited to the support on data and transparency systems to better track and monitoring NDC implementation, addressing key gaps and needs on country implementation planning processes, and integrating NDCs into national development and sectoral policies, strategies and budgets.

This Initiation Plan expects to deliver the following outputs across three levels:

***Output 1: Country Component: NDC implementation and net-zero and climate resilient development pathways are accelerated through inclusive processes at the country level***

*Focus would be on scoping and initial implementation in front-runner countries across the following activities, in coordination with the NDC Partnership:*

**i. Scale and Speed:**

- Scoping activities are undertaken in selected countries to identify entry points on areas of support across key elements of governance and financing mechanisms to enable delivery of NDC targets across key sectors
- Targeted support is initiated in countries focusing on enhancing data and transparency systems and processes for the Enhanced Transparency Framework (ETF) to measure the achievement of NDC targets
- Targeted support on policy, strategies plans and regulatory frameworks, institutional capacities and coordination mechanisms, and financing strategies, plans or investment frameworks

**ii. Amplifying Ambition:**

- Scoping activities are undertaken in countries to identify options/pathways towards long-term net-zero and climate resilient development in line with the goals of the Paris Agreement.
- Targeted support on ownership, capacities and resources to enhance NDCs and to establish long-term net-zero, climate-resilient pathways including LTS

**ii. Lasting inclusivity:**

- Scoping activities are undertaken in countries to identify key actors and engagement platforms and mechanisms within society, at local, national, regional and global level, to

contribute to accelerate NDC implementation and long-term net-zero and climate resilient development pathways.

- Targeted support to establish and strengthen stakeholder engagement platforms/mechanisms, empower key actors particularly those most marginalized, and introduce innovative engagement mechanisms, knowledge sharing platforms and south-south exchange

**Output 2: Regional Component: Technical capacities and systems strengthened at the regional level to support tracking, analysis and delivery of national-level activities and inform regional processes and priorities.**

- Communications, Engagement and Advocacy: participation, engagement and input in regional institutions, processes and forums, drawing on the results and insights generated through country support; Sharing experiences, lessons and trends emerging from country support in regional discourse
- Data and knowledge: Targeted support to promote and strengthen regional cooperation, knowledge sharing and south-south cooperation and capacity building; Establish regional or cross-regional clusters to share experiences and respond to common needs and demands, for example on data and transparency
- Integrated Technical Support: Establish and strengthen the necessary technical support and infrastructure needed to respond to country demands and support delivery of results

**Output 3: Global Component: Global infrastructure and capacities are established and strengthened to deliver the Climate Promise at scale and to inform global processes, namely the UNFCCC and the road to COP27.**

- Communications, Engagement and Advocacy: Scale up successful global advocacy campaigns and initiative such as the People's Climate Votes and other innovative initiatives to further amplify ambition and accelerate implementation of NDCs and long-term strategies leading up to COP26 and COP27.
- Data and Knowledge: Strengthen data gathering and tracking systems created under the Climate Promise to encompass full scope of NDC enhancement and implementation; Generate knowledge and insights to inform global processes and discourse;
- Integrated Technical support: Put in place the necessary infrastructure for technical and oversight capacity to delivery at scale; Strengthen technical support and capacity of key cross-regional groups (e.g. AOSIS, LDC Chair, G77) to engage in global processes, including support through the Progressive Platforms

**Full Portfolio Project Development** *One key result of this Initiation Plan will be the development of the full Portfolio project document. This will include the following elements:*

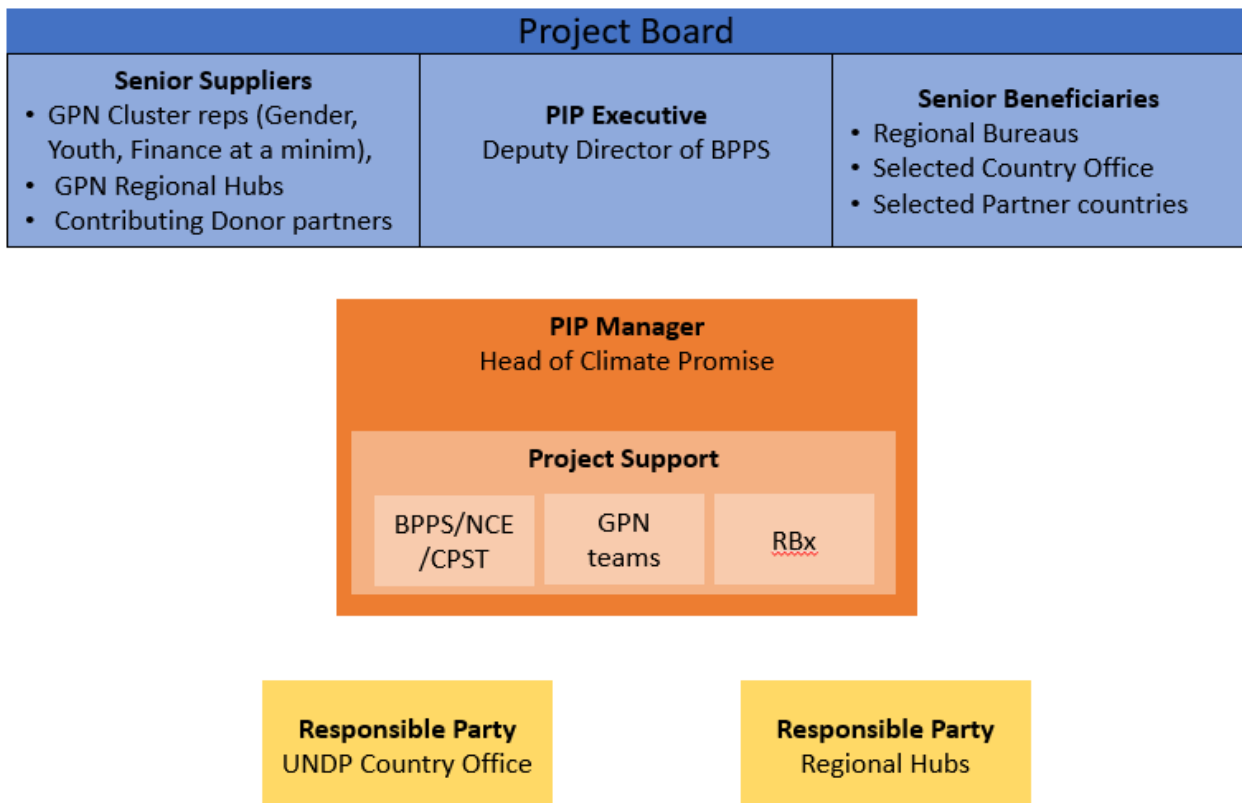
- The portfolio project's theory of change with the latest information, studies and scoping activities.
- The portfolio project's result and resource framework including the undertaking of baseline data collection are completed.
- A Global Portfolio Project Document is developed and endorsed in line with UNDP's rules and procedures.
- Key donors and partners are engaged and brought on board to support the next phase of the initiative
- Portfolio governance structure are put in place.
- Key recruitment and procurement are initiated to support implementation.
- Implementation and monitoring tools and guidance are established.
- Tools and systems for knowledge and communications are developed.

## II. MANAGEMENT ARRANGEMENTS

The Climate Strategies and Policy Team under the UNDP/GPN/BPPS’s Nature Climate and Energy Team will be the overall lead in the implementation of the PIP in line with the UNDP’s Programme and Operations Policies and Procedures (POPP). The Team will work closely with relevant thematic teams within the GPN, the Regional Bureaus, BERA, BMS and ExO to ensure inclusive inputs and support both during the PIP period and the full project period. The PIP will continue to leverage the current Regional Focal Points/Country Coordinator mechanism and working arrangements put in place during the current phase of the Climate Promise until a new governance arrangement is put in place for the next phase, which is expected to be finalised and agreed during the PIP phase.

To test feasibility of a scaled programme and continue momentum in countries through initial activities, a significant portion of the PIP budget (approximately 12m USD) will be utilized at the national level through participating Country Offices (COs). These COs will complete a pre-designed workplan template defining activities that align with the relevant outcomes, outputs and indicators of the global results framework. COs will be responsible for delivering and tracking activities and expenditures in line with UNDP rules. (see more in “Monitoring”). Regional and global teams will be responsible for aggregating country reports to compile the overall results of the global portfolio.

The below diagram provides an overview of the proposed management arrangement for the PIP phase.



*\* Proposed management arrangements are consistent with the current vision of the portfolio and may be altered over the time of the PIP in line with strengthened oversight models currently under discussion.*

At least one annual Project Board meeting will be held to assess implementation progress of the Initiation Plan and undertake corrective actions if necessary. In line with UNDP oversight requirements Members of the Board will include, at a minimum, representation from UNDP, Portfolio Management, Development Partners (donors), Beneficiaries, and Project Assurance. The responsibilities of the Board include:

- a. Provide overall guidance and direction and agree on adjustments within provided tolerance levels
- b. Assess the achievement of results in the context of the Portfolio and national results/outcomes;
- d. Assess risks to the programme or project, and agree on management actions and resources to address them effectively; and
- e. Identify and address operational issues of programme and project implementation, including those that could lead to revisions of the portfolio, or country programme or project if required.

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### **III. MONITORING**

The PIP Project Manager coordinates the day-to-day monitoring of the delivery of outputs and associated activities under the Initiation Plan, which will be reported using a streamlined system to capture results at country, regional, and global level. All results will be fed upwards from individual workplans developed at each national level and aggregated in the agreed global, portfolio-level results framework. The manager will provide quarterly progress updates to the PIP Oversight, with key deliverables (e.g. final prodoc) to undergo review and appraisal.

The Global Support Unit based at UNDP HQ will assess national and global expenditures on a quarterly basis to ensure adequate delivery and to advise and course correct when needed. In addition, Climate Promise Coordinators will collect and review narrative reporting against national level workplans on a quarterly basis, compile results and lessons learned, and provide management responses when and if needed.

UNDP will also provide annual reports to the Donor, which will follow the UNDP donor reporting template and include at a minimum annual Executive Summary, Background, Progress Review (output level results corresponding to agreed-upon portfolio indicators), Project Risks and Issues, Lessons Learned, Conclusions and Way Forward, and combined financial status at the portfolio level.

An Initiation Phase Report will be prepared at the end of the Initiation Plan, using the standard format available in the [Executive Snapshot](#) in addition to attaching the full project document if developed or an explanation if initiation failed to produce a full project.

## IV. WORK PLAN

Project ID

EXPECTED OUTPUTS	PLANNED ACTIVITIES	TIMEFRAME				RESPONSIBLE PARTY	PLANNED BUDGET		
		Q1 2022	Q2 2022	Q3 2022	Q4 2022		Funding Source	Budget Description	Amount (USD)*
<b>Output 1: Country Component**</b> - NDC implementation, net-zero and climate resilient development pathways are accelerated through strengthened stakeholder engagement on a country level.	<u>Activity 1: Scale and Speed:</u> Strengthen inclusive and gender-responsive governance and financing mechanisms to enable delivery and tracking of NDC targets across sectors					UNDP	Germany – BMZ Germany - BMU Belgium	IC Workshops Travel	3,958,166
	<u>Activity 2: Ambition:</u> establish long-term net-zero and climate resilient development pathways aligned with the goals of the Paris Agreement.					UNDP	Germany – BMZ Germany - BMU	IC UNV Staff	2,750,000
	<u>Activity 3: Inclusivity:</u> Support key actors within society to systematically engage and become empowered to contribute to accelerate NDC implementation and long-term net-zero and climate resilient development pathways.					UNDP	Germany – BMZ Germany - BMU	IC Workshops Travel	3,750,000
	<u>Activity 4: Project Management:</u> Capacity in country for coordination and management of Climate Promise support					UNDP	Germany – BMZ Germany - BMU	IC UNV Staff	2,250,000
	<b>Output 1 Subtotal</b>								<b>12,708,166</b>
<b>Output 2: Regional Component</b> - Strengthened regional countries' capacities and systems in tracking and analysis of	<u>Activity 1: Communications, Engagement and Advocacy:</u> focus on regional-level communications, engagement in regional processes and advocacy around regional priorities					UNDP	Germany – BMZ Germany - BMU	IC Workshops Travel	460,000
	<u>Activity 2: Data and Knowledge:</u> track and analyse data and regional level and generate and share knowledge relevant to regional priorities and trends					UNDP	Germany – BMZ Germany - BMU	IC UNV Staff	500,000



regional processes and priorities	<u>Activity 3: Integrated Technical support:</u> establish technical capacity needed to respond to country needs across the region					UNDP	Germany – BMZ Germany – BMU	IC UNV Staff	1,260,000
	<u>Activity 4: Programme management:</u> Supporting management needs at regional level, including financial management (tracking transfers to countries) and coordination of reporting					UNDP	Germany – BMZ Germany – BMU	IC UNV Staff	90,000
	<b>Output 2 Subtotal</b>								<b>2,310,000</b>
<b>Output 3: Global component -</b> Global infrastructure and capacities are established to scale up Climate Promise and to inform global processes, namely the UNFCCC and the road to COP27.	<u>Activity 1: Comms, Engagement and Advocacy:</u> linking national and regional level work with global processes to inform political and strategic engagement; meetings, partnerships, communications and advocacy					UNDP	Germany – BMZ Germany – BMU	IC UNV Staff	1,350,000
	<u>Activity 2: Data and Knowledge:</u> establishing and managing the global systems for data and knowledge capture, analysis and sharing					UNDP	Germany – BMZ Germany – BMU	IC UNV Staff	320,000
	<u>Activity 3: Integrated Technical support:</u> establishing the necessary technical capacity to deliver Climate Promise at scale across five regions, key thematic expertise based on demand from countries and critical global priorities					UNDP	Germany – BMZ Germany – BMU	IC UNV Staff	70,000
	<u>Activity 4: Programme management:</u> putting in place a robust engine room that manages financial, reporting, human resources and other programme needs across the portfolio.					UNDP	Germany – BMZ Germany – BMU Belgium	IC UNV Staff	521,000
	<b>Output 3 Subtotal</b>								<b>2,261,000</b>
	PROJECT IMPLEMENTATION								17,279,166
	GMS (8%)								1,382,333
	TOTAL PROJECT								18,661.499
	Coordination Levy (1%)								186,615
<b>TOTAL</b>	<b>TOTAL CONTRIBUTION</b>								<b>18,848.000</b>

\*Funding has been agreed in EUR, so budget in USD is estimated here based on current exchange rate (1.16). This will be confirmed and adjusted once funding received and exchange rate applied.

\*\* Output 1 – Country Component - 12,708,166 USD reflects the amount that will be transferred and programmed at the respective CO level.